PROPRIETARY MATERIAL NOT OPEN TO PUBLIC. TO BE OPENED ONLY BY EXAMINER OR OTHER AUTHORIZED PATENT AND TRADEMARK OFFICE EMPLOYEE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: 38777-0059

Applicant(s):

Milan S. BLAKE et al.

Serial No.:

To be assigned

Examiner: To be assigned

Filing Date:

October 3, 2003

Group Art Unit: To be assigned

Title:

IMPROVED METHOD FOR THE PRODUCTION OF BACTERIAL

TOXINS

PROPRIETARY INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56 and MPEP §724

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The following is a listing of a co-pending U.S. patent application:

SERIAL NO./ FILING DATE	TITLE
09/825,769 April 4, 2001	METHOD FOR THE PRODUCTION OF BACTERIAL TOXINS

Applicants consider the aforementioned documents and the disclosure of its existence as pending applications to constitute proprietary information. Accompanying this Proprietary Information Disclosure Statement is a transmittal letter indicating that the materials contained herein are proprietary, as required by MPEP §724.02.

Applicants respectfully request that the Examiner consider the foregoing information and provide in the next official communication the information set forth in MPEP §724.04(a), particularly the information under item (C), so that the Applicants

can, if appropriate, subsequently file a petition to expunge those materials, as provided according to MPEP §724.05.

This Proprietary Information Disclosure Statement is being filed under the provisions of 37 C.F.R. § 1.97(b) before the mailing of a first Office Action on the merits, therefore, no fee is due. However, in the event that the USPTO determines that a fee is due for the filing of this document, the Commissioner is hereby authorized to charge any such fee to Deposit Account No. 08-1641.

Respectfully submitted,

Registration No. 33,715

John P. Isacson
Attorney for Applicant

October 3, 2003

Date

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IMPROVED METHOD FOR THE PRODUCTION OF BACTERIAL

TOXINS

TRANSMITTAL LETTER FOR PROPRIETARY INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56 and MPEP §724

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Attached hereto is a Proprietary Information Disclosure Statement submitting proprietary information to the Patent and Trademark Office in accordance with the requirements of 37 C.F.R. §1.56 and MPEP §724. Applicants respectfully request that the information be considered only by the Examiner in charge of the above-captioned application, or other authorized Patent and Trademark Office employee.

Respectfully submitted,

John P. Isacson

Attorney for Applicant

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Registration No. 33,715

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: 38777-0059

Applicant(s):

Milan S. BLAKE et al.

Serial No.:

To be assigned

Examiner: To be assigned

Filing Date:

October 3, 2003

Group Art Unit: To be assigned

Title:

IMPROVED METHOD FOR THE PRODUCTION OF BACTERIAL

TOXINS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56 and 37 CFR §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08A is a listing of documents known to applicants in order to comply with applicants' duty of disclosure pursuant to 37 C.F.R. §1.56 and §1.97. A copy of each of the listed documents are being submitted to comply with the provisions of 37 C.F.R. §1.97-1.99.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or is considered to be material to patentability as defined in 37 C.F.R. §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* prior art reference against the claims of the present application.

Applicants believe that the instant Information Disclosure Statement is being filed within three months of the filing date under 37 CFR §1.97(b)(1), therefore, no fee is required in connection with its filing.

Attorney Docket No.: 38777-0059

Applicants submit herewith on Form PTO/SB/08A, a listing of the documents cited by or submitted to the Patent Office in parent application Serial No. 09/825,770, filed April 4, 2001. The relevance of these prior art documents is explained in the parent application.

As provided in 37 C.F.R. §1.98(d), copies of the documents are not being provided since they were previously cited by or submitted to the Patent Office in parent application Serial No. 09/825,770 filed April 4, 2001.

Applicants respectfully request that the listed documents be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08A be returned in accordance with M.P.E.P. §609.

Respectfully submitted.

October 3, 2003

Date

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Sheet 1 of 1				,						
Form PTO/SB/08A			38777-0059 To				ERIAL NO. o be assign d			
LIST OF REFERENCES CITED BY APPLICANT(S) Date Submitted: Oct b r 3, 2003			APPLICANT(S) Milan S. BLAKE tal.							
			FILING DATE October 3, 2003				GROUP To be assign d			
					OCUMENTS					
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE (M/D/Y)		NAME		S	SUBCLASS	FILING DATE IF APPROPRIATE	
	A01	5,614,396	3/25/97	Bradley et a	al.					
	A02	5,789,215	8/4/98	Berns et al.						
	A03	4,950,599	8/21/90	Bertling						
	A04	5,460,941	10/24/95	Camerini-O	tero et al.					
	A05	6,031,149	2/29/00	Chambon e	t al.					
	A06	5,272,071	12/21/93	Chappel						
	A07	5,777,195	7/7/98	Fienberg et	al.		-			
	A08	5,612,205	3/18/97	Kay et al.						
-	A09	6,015,676	1/18/00	Lin et al.						
	A10	5,616,491	4/1/97	Mak et al.						
	A11	5,557,032	9/17/96	Mak						
	A12	5,948,653	9/7/99	Pati et al.	•					
	A13	5,965,443	10/12/99	Reznikoff e	t al.					
	A14	4,965,205	10/90	Quentin-Mil	let et al.					
	A15	5,338,670	8/16/94	Sekura et a	ıl.					
FOR			EIGN PATEN	T DOCUMENT	s		I			
		DOCUMENT NUMBER	DATE (M/D/Y)	COL	JNTRY	CLAS	S	SUBCLASS	TRANSL. YES	
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	A16	Andresen			thor, Title, Date, Pertinent Pages, Etc.) d Medical Microbiology 23: 295-301 (1999)					
	A17	Frohlich et al.	Journal of Biotechnology 39: 205-219 (1995)							
EXAMINER		DATE CONSIDERED								

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.